

PATENT Customer No. 22,852 Attorney Docket No. 08516.0007

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	
Thomas AREND) Group Art Unit: 2184
Application No.: 10/697,434) Examiner: Unknown
Filed: October 31, 2003)
For: IDENTIFYING SOLUTIONS TO COMPUTER PROBLEMS IN CLIENT/SERVER SYSTEM)))
Assistant Commissioner for Patents	,

Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449 Form. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any co-pending patent applications, are attached hereto. The listed documents include the following U.S. patent applications, which are commonly assigned to the Assignee of the present application:

U.S. Patent Appl. No.	Filing Date	Title
10/697,433	October 31, 2003	Identifying Solutions To Computer Problems In Main System By Service System
10/697,431	October 31, 2003	Identifying Solutions To Computer Problems In Main System By Service System

U.S. Application No. 10/697,434 Attorney Docket No. 08516.0007

Copies of each of the above-listed applications are attached hereto for the

Examiner's consideration. In addition, the Examiner is invited to obtain and review the

files of the listed applications.

Applicant respectfully requests that the Examiner consider the listed documents

and indicate that they were considered by making appropriate notations on the attached

PTO-1449 Form.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the

documents as prior art against any claim in the application and Applicant determines

that the cited documents do not constitute "prior art" under United States law, Applicant

reserves the right to present to the U.S. Patent and Trademark Office the relevant facts

and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the

patentability of the disclosed invention over the listed documents, should one or more of

the documents be applied against the claims of the present application.

This Information Disclosure Statement is being filed under 37 CFR §1.97(b), for

which no fee is believed due; however, if there is any fee due in connection with the

filing of this Statement, please charge the fee to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,

GARRETT & DUNNER, L.L.P.

Dated: April 2, 2004

C. Gregory Gramenopoulos

Reg. No. 36,532

-2-

By:

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08516.0007)C	Appln. No.	10/697,434
Applicant	Thomas AREND	AIR 0 2 2004 -		
Filing Date	October 31, 2003	RADEMAR! OF	Group:	Unknown

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,404,503	4 Apr. 1995	HILL et al.			
	5,983,364	9 Nov. 1999	BORTCOSH et al.			
	6,295,525	25 Sept 2001	HART et al.			

FOREIGN PATENT DOCUMENTS						
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	WO 01/18652	15 Mar. 2001	PCT			
	WO 00/68793	16 Nov. 2000	PCT			
	WO 97/15009	24 Apr. 1997	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
Freiling, M., "Designing an Inference Engine: From Ontology to Control", International Workshop on Artificial Intelligence For Industrial Applications, 25 May 1988, pp. 20-26				
Grillmeyer O., et al., "The Design and Construction of a Rule Base and an Inference Engine for Test System Diagnosis", International Test Conference, Washington, IEEE Comp. Soc. Press, U.S., Vol. Symp. 1985, 1 Nov. 1985, pp. 857-867.				
Shutt, T.S., et al., "COPRA: Computer Operations Problem Resolution Assistant", Proceedings of the Conference on Artificial Intelligence for Applications, Orlando, 1-5 Mar. 1993, Los Alamitos, IEEE Comp. Soc. Press, Vol. Conf. 9, pp. 107-113.				
U.S. Patent Appl. No. 10/697,433, filed on October 31, 2003, entitled "Identifying Solutions To Computer Problems In Main System By Service System".				
U.S. Patent Appl. No. 10/697,431, filed on October 31, 2003, entitled "Identifying Solutions To Computer Problems In Main System By Service System".				

Examiner	Date Considered			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				
Form PTO 14	Patent and Trademark Office - U.S. Department of Com	merce		